**MISAEL PINEDO, MBA**

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**SUMMARY OF QUALIFICATIONS**

Hands-on global professional with experience in various environments and with a background in banking, technology, information security, accounting, operations, internal audit and quality assurance of complex organizations and with revenues of more than $1.2 trillion dollars.

**EDUCATION**

• Master of Business Administration, Global Business, University of Redlands, CA **2015**

• Bachelor of Arts. Business Administration, Accounting, California State University, Fullerton, CA **2008**

**COMPUTER SKILLS**

Quality Assurance. V-Lookups, Concatenations, GLBA, COSO, SOX 404, Technology Audits, Operational Audits, Internal Audit, Information Security & Technology, Incident Response, Forensic Reviews, Hot & Cold Reviews.

**FOREIGN LANGUAGES**

Fluent Spanish and basic Portuguese.

**COMPUTER SKILLS**

Beginner programming skills in JAVA, Python, SQL. MS-Office, Word, Excel, PowerPoint, Outlook, Visio, AD Manager, Service Desk, AIMS, Remote Desktop Connection, Citrix, Prologue, Encompass, WireXChange, AvidXChange, Work Front.

**CERTIFICATIONS**

Pursuing ISO 27000 and 97000 Certifications. CIA, CISA and CPA.

**EXPERIENCE**

**IT COMPLIANCE ANALYST, Banc of California, Inc. Santa Ana, CA 2017 - present**

• Prepare work papers and system documents for senior management and external auditors; perform weekly and monthly review of access rights and user provisioning.

• Download data from various applications and databases and verify integrity, completeness & accuracy; capture configurations, perform system walk-throughs.

• Participate in daily IT projects, initiatives and tasks assigned by the IT Manager, prepared audit deliverables; interact with department units as needed, used Excel macros to analyze and compile system data.

• Use scripts to retrieve data from SQL databases using My Oracle and other applications.

**SENIOR AUDITOR, AVP, Citigroup, Fort Lauderdale, FL 2015 – 2016**

• Participated in audits of QA throughout the first and second line of defense for North and Latin American countries, used an audit management information system.

• Documented work papers; tracked issues, validated corrective actions and regulatory findings; participated in team projects, audit planning, conference calls, and annual training.  
• Reviewed risk and control matrices, used a scorecard grading system, verified conformity with IIA Standards, ITIL and International Banking Regulations, including Citi’s audit methodology, performed hot and cold reviews.

• Reviewed documentation related to control design and effectiveness to ensure proper audit trail.

**INTERNAL AUDITOR, California International Bank, Westminster, CA** **2014 - 2015**

• Presented reports to the audit committee, discussed findings, recommendations, issues, audit plans, and annual assessments, reviewed work papers for quality.

• Supported regulators and external auditors; coached management; reported to the audit committee and the CEO; reviewed lending and credit related policies and procedures.

• Verified conformance with internal policies and procedures, prepared audit memos and work programs, reviewed loan files and audit reports; tracked internal issues.

**STAFF INTERNAL AUDITOR, Farmers & Merchants Bank, Seal Beach, CA** **2013 – 2014**

• Tested general controls for compliance with internal policies and procedures; prepared audit deliverables, work papers and internal audit documents.

• Planned for audits with the internal audit department; performed cash counts and participated in audits of department units like accounting and operations.

**SENIOR INTERNAL AUDITOR, Banc of California, Inc. Irvine, CA 2012 – 2013**

• Participated in M&A’s, identified and updated internal controls, made recommendations, prepared deliverables; performed sample testing, validated internal issues.

• Assisted external auditors, tracked findings and corrective actions, tested key controls of various operational bank units, oversaw all SOX initiatives.

**IT SPECIALIST, ACCOUNTING ASSISTANT, Pacific Alliance Bank, Rosemead, CA 2011 – 2012**

• Maintained the IT compliance program of the organization and participated in annual IT and security audits, vendor management, business continuity and disaster recovery.

• Ensured the incident response and IT program operated effectively and was properly documented, supervised purchase orders, A/P, treasury, GL, payments, wire transfers.

• Managed company hardware and software, third parties, and performed troubleshooting of IT issues; ensured front and back office systems operated effectively.

**AUDIT ASSOCIATE, Audit One, LLC, Santa Fe Springs, CA 2008 – 2010**

• Performed operational, system, compliance financial and technology audits of financial institutions, tested internal controls of various financial and IT processes.

• Ensured a safe and sound control environment, drafted work papers and audit reports, presented findings and recommendations to senior management.

• Collected audit evidence and analyzed banking transactions and data; used random sampling techniques.